

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
F-9680

Total Pages in this Submission

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**MAGNETIC DISK APPARATUS WITH SEPARATE PRINTED-CIRCUIT BOARDS**

and invented by:

Naoki Soeda

jc678 U.S. PTO  
09/502454If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

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Enclosed are:

**Application Elements**1. ☒ Filing fee as calculated and transmitted as described below☒ Specification having 16 pages and including the following:

- a. ☒ Descriptive Title of the Invention
- b. ☐ Cross References to Related Applications (if applicable)
- c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
- d. ☐ Reference to Microfiche Appendix (if applicable)
- e. ☒ Background of the Invention
- f. ☒ Brief Summary of the Invention
- g. ☒ Brief Description of the Drawings (if drawings filed)
- h. ☒ Detailed Description
- i. ☒ Claim(s) as Classified Below
- j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets 5 (Figs. 1-5)
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449                      ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing
- ☐ First Class                      ☐ Express Mail *(Specify Label No.):* \_\_\_\_\_

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
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**Accompanying Application Parts (Continued)**

15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)

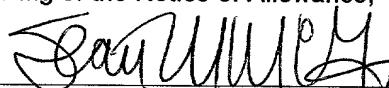
16. ☐ Additional Enclosures (please identify below):

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	15	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose) Assignment Recordation					\$40.00
TOTAL FILING FEE					\$730.00

- ☒ A check in the amount of \$730.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0481 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
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- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Dated: February 11, 2000

Sean M. McGinn, Esq.  
Reg. No.: 34,386

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**APPLICATION  
FOR  
UNITED STATES  
LETTERS PATENT**

**APPLICANT:** Naoki Soeda

**FOR:** MAGNETIC DISK APPARATUS WITH  
SEPARATE PRINTED-CIRCUIT  
BOARDS

**DOCKET NO.:** F-9680

09502454-021100  
001120-15120560

# MAGNETIC DISK APPARATUS WITH SEPARATE PRINTED-CIRCUIT BOARDS

## BACKGROUND OF THE INVENTION

### Field of the Invention:

5           The present invention relates to a magnetic disk apparatus and in particular, to the magnetic disk apparatus reduced in costs with miniaturized and diversified printed-circuit boards.

### Description of the Prior Art:

10           A conventional magnetic disk apparatus generally has a single printed-circuit board for a single disk enclosure. The single printed-circuit board mounts all the circuits for controlling the disk enclosure.

15           In case of exchanging a conventional magnetic disk apparatus to another conventional magnetic disk apparatus for the purpose of increasing the capacity of the magnetic disk apparatus constituting a system or preserving the former magnetic disk apparatus as a spare magnetic disk apparatus, the printed-circuit board as well as the disk enclosure are exchanged for another set, because the printed circuit board and the disk enclosure are incorporated in one.

20           Referring to FIG. 5, disk enclosure 82 comprises a storage medium (not shown), a spindle motor (not shown) for rotating the storage medium, a voice coil motor (not shown) for driving a magnetic head (not shown) and rotating an actuator (not shown), a carriage (not shown) for carrying the magnetic head, a base (not shown) for mounting the storage medium, and a recording/reproduced signal compensation circuit (not shown) for processing a

record/reproduced signal to/from the magnetic head. The magnetic head is mounted on the carriage.

Recording/reproduction of a signal to/from the storage medium is performed by the recording/reproduced signal compensation circuit and the magnetic head under the control of recording/reproducing control circuit 83 mounted on printed-circuit board 81. SPM/VCM (Spindle Motor/Voice Coil Motor) control circuit 88 controls the rotation of the storage medium through the spindle motor and the position of the magnetic head through the voice coil motor. Interface control circuit 87 controls communication protocols with upper system 90 which are exchanged through connectors 89 and 91 and a bus cable therebetween. Parameter-holding circuit 86 holds parameters of the storage medium which correspond to a type of recording system and is such as a ROM. Analog/digital converter 84 converts an analog signal reproduced from the storage medium into a digital signal. Processor 85 controls recording/reproducing control circuit 83, parameter-holding circuit 86, interface control circuit 87, and SPM/VCM control 88.

As explained above, the conventional magnetic disk apparatus has a single printed-circuit board for a single disk enclosure. Therefore, in case of exchanging a conventional magnetic disk apparatus to another conventional magnetic disk apparatus, it is inevitable to exchange a printed-circuit board which is originally needless to be exchanged in addition to a disk enclosure, which results in an increase in cost of the exchange.

In case of a removable medium, after purchasing one removable medium and a recording/reproduction device, it is needed to purchase only another removable medium to increase the capacity. Therefore, the removable

medium has a merit in capacity/cost ratio. On the other hand, in case of a magnetic disk apparatus, it is needed to purchase not only a disk enclosure but also a printed-circuit board which is unrelated to the increase of the capacity to increase the capacity.

5 In addition, the structure of a conventional disk apparatus in which a single printed-circuit board mounting all the circuits is combined with a single disk enclosure is a factor which prevents miniaturization of the apparatus and a breakaway of the apparatus from form factors.

### SUMMARY OF THE INVENTION

10 In order to overcome the aforementioned disadvantages, the present invention has been made and accordingly, has an object to reduce the unit cost of a magnetic disk apparatus by dividing a printed-circuit board into function blocks and mounting only a circuit for holding parameters unique to a disk enclosure and circuits which are poor in noise resistance property on the  
15 printed-circuit board which is combined with the disk enclosure.

The present invention has another object to miniaturize a magnetic disk apparatus and to provide a form of the magnetic disk apparatus which is not restricted by form factors.

According to an aspect of the present invention, there is provided a  
20 magnetic disk apparatus comprising: a disk enclosure; a first printed-circuit board which is paired with the disk enclosure; and a second printed-circuit board which is connected to the first printed circuit board via a cable and is separated in structure from the first printed-circuit board; wherein the first printed-circuit board mounts circuits which are poor in noise resistance  
25 property, and a circuit which holds parameters unique to the disk enclosure;

and wherein the second printed circuit board mounts circuits which are superior in noise resistance property.

The circuits which are poor in noise resistance property may include recording/reproduction control circuit.

- 5           The circuits which are poor in noise resistance property may include an analog/digital converter.

The circuits which are superior in noise resistance property may include an interface control circuit with an upper system.

- 10          The circuits which are superior in noise resistance property may include a processor.

The circuits which are superior in noise resistance property may include a spindle motor/voice coil motor control circuit.

The first printed-circuit board may further mount a elastomer connector.

- 15          The circuits which are superior in noise resistance property may include plural spindle motor/voice coil motor control circuits.

The circuits which are superior in noise resistance property may further include a single processor.

- 20          The circuits which are superior in noise resistance property may further include an interface circuit with an upper system.

The circuits which are superior in noise resistance property may further include a switch for selecting either of a first group consisting of a disk enclosure and a first printed-circuit board and a second group consisting of another disk enclosure and another first printed-circuit board.



The second printed-circuit board may be separated into a third printed circuit board and a fourth printed circuit; wherein the third printed circuit board may mount the interface control circuit; and wherein the fourth printed circuit board may mount the circuits which are superior in noise resistance property other than the interface control circuit.

The second printed-circuit board may not mount an interface control circuit.

The circuits which are superior in noise resistance property may include a processor.

The circuits which are superior in noise resistance property may include a spindle motor/voice coil motor control circuit.

These and other objects, features and advantages of the present invention will become more apparent in the light of the following detailed description of the best mode embodiments thereof, as illustrated in the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram showing the structure of a magnetic disk apparatus according to a first embodiment of the present invention;

FIG. 2 is a block diagram showing the structure of a magnetic disk apparatus according to a second embodiment of the present invention;

FIG. 3 is a block diagram showing the structure of a magnetic disk apparatus according to a third embodiment of the present invention;

FIG. 4 is a block diagram showing the structure of a magnetic disk apparatus according to a fourth embodiment of the present invention; and

FIG. 5 is a block diagram showing the structure of a conventional magnetic disk apparatus.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Preferred modes of embodiment according to the present invention will  
5 be described with reference to the accompanying drawings.

##### [EMBODIMENT 1]

Referring to FIG. 1, printed-circuit board 1 is combined with disk enclosure 2 in one-to-one relation.

Printed-circuit board 1 mounts connector 3, parameter-holding circuit  
10 4, analog/digital converter 5, and recording/reproduction circuit 6. Connector 3 is coupled with connector 14 on printed-circuit board 13. Parameter-holding circuit 4 holds parameters unique to disk enclosure 2. Analog/digital converter 5 and recording/reproduction control circuit 6 are poor in noise resistance property. The functions of analog/digital converter 5 and  
15 recording/reproduction control circuit 6 are the same as those of analog/digital converter 84 and recording/reproduction control circuit 83, respectively.

Printed circuit board 13 mounts connector 14, processor 15, interface control circuit 16, connector 17, and SPM/VCM control circuit 18. The functions of processor 15, interface control circuit 16, connector 17, and  
20 SPM/VCM control circuit 18 are the same as those of processor 85, interface control circuit 87, connector 89, and SPM/VCM control circuit 88, respectively. Processor 15, interface control circuit 16, and SPM/VCM control circuit 18 are superior in noise resistance property.

Recording/reproduction circuit 6 is located in the neighbor of a  
25 magnetic head to prevent mixture of noise coming from the external into a

reproduced signal because the reproduced signal is low in level and high in frequency. Parameter-holding circuit 4 holds parameters which are unique to disk enclosure 2 such as the number of disks, the material of the disks, the speed of revolution of the disks, the number of tracks per disk, and track pitch  
5 of the disks.

Interface control circuit 16 is in conformity with IDE (Integrated Device Electronics), SCSI (Small Computer Systems Interface) or the like, and is not preferably restricted by types of upper system 19.

10 Disk enclosure 2 comprises a storage medium (not shown), a spindle motor (not shown) for rotating the storage medium, a voice coil motor (not shown) for driving a magnetic head (not shown) and rotating an actuator (not shown), a carriage (not shown) for carrying the magnetic head, a base (not shown) for mounting the storage medium, and a recording/reproduced signal compensation circuit (not shown) for processing a record/reproduced signal  
15 to/from the magnetic head. The magnetic head is mounted on the carriage.

Recording/reproduction of a signal to/from the storage medium is performed by the recording/reproduced signal compensation circuit and the magnetic head under the control of recording/reproducing control circuit 6 mounted on printed-circuit board 1. SPM/VCM control circuit 18 controls the  
20 rotation of the storage medium through the spindle motor and the position of the magnetic head through the voice coil motor. Interface control circuit 16 controls communication protocols with upper system 19 which are exchanged through connectors 17 and 20 and a bus cable therebetween. Upper system 19 outputs the data to be recorded in disk enclosure 2 and inputs the data  
25 reproduced from disk enclosure 2. Processor 15 controls recording/reproducing

control circuit 6, parameter-holding circuit 4, interface control circuit 13, and SPM/VCM control 18.

Because of the structure of the magnetic disk apparatus of this embodiment, in case of exchanging a magnetic disk apparatus of this embodiment to another magnetic disk apparatus of this embodiment, it is needless to exchange printed-circuit board 13 and it is only needed to exchange the set of printed-circuit board 1 and disk enclosure 2 to the set of printed-circuit board 7 and disk enclosure 8. Therefore, the cost of the exchange is reduce by the cost of printed-circuit board 13 as compared with the conventional magnetic disk apparatus. In addition, the exchangeable part of the magnetic disk apparatus of this embodiment is miniaturized by the size of printed-circuit board 13 owing to the structure of the magnetic disk apparatus of this embodiment. Further, because parameter-holding circuit 4 and 10 hold parameters unique to disk enclosure 2 and 8, respectively, and connectors 3 and 9 are elastomer connectors for easy attachment/detachment, the set of printed-circuit 1 and disk enclosure 2 can be easily exchanged to the set of printed circuit 7 and disk enclosure 8. Still further, the forms of printed-circuit boards 1, 7, 13 are not restricted by form factors and free from old-fashioned forms.

## [EMBODIMENT 2]

The second embodiment is basically the same as the first embodiment, but more improved in a method of dividing printed-circuit boards than the first embodiment.

Referring to FIG. 2, printed-circuit board mounts SPM/VCM control circuit 24 and 25, connectors 26, 27, processor 28, interface control circuit 29,

connector 30, and switch circuit 31. Each of exchangeable part 21 and 22 is composed of a disk enclosure, a board mounting a connector 34 or 35, a parameter-holding circuit, an analog/digital converter, writing/reproduction control circuit 6. Exchangeable part 21 is the same as a set of printed-circuit board 1 mounting the aforementioned parts and disk enclosure 2, and exchangeable part 22 is the same as a set of printed-circuit 7 mounting the aforementioned parts and disk enclosure 8. One processor 28 and one interface control circuit 29 correspond to two exchangeable parts 21 and 22.

SPM/VCM control circuits 24 and 25 perform spindle motor control and voice coil motor control for exchangeable parts 21 and 25, respectively. Switch 31 determines which of exchangeable parts 21 and 22 is selected. This embodiment has a configuration in which two SPM/VCM control circuit 24 and 25 and two connectors 26 and 27 are included. This configuration enables a single processor 28 to control two exchangeable parts 21 and 22, whereby a capacity/cost ratio is improved.

#### [EMBODIMENT 3]

A conventional magnetic disk apparatus consisting of a set of a disk enclosure and a single printed-circuit board is not compatible with plural types of interface format with an upper system. Therefore, if a type of interface format changes to another type of interface format, the whole of conventional magnetic disk apparatus must be exchanged to another conventional magnetic disk apparatus. This embodiment dissolves this disadvantage.

Referring to FIG. 3, a magnetic disk apparatus of this embodiment comprises disk enclosure 42, and printed-circuit boards 41, 47, and 52 or 56. Printed-circuit board 41 mounts connector 50, a parameter-holding circuit, an

analog/digital converter, and recording/reproduction control circuit. Printed-circuit board 47 mounts connectors 48 and 51, SPM/VCM control circuit 40, and processor 49. Printed-circuit board 52 mounts connectors 54 and 55, and interface control circuit 53. Printed-circuit board 56 mounts  
5 connectors 58 and 59, and interface control circuit 57.

Interface control circuit 53 and connector 54 are in conformity with an interface with upper system 60. Interface control circuit 57 and connector 58 are in conformity with an interface with upper system 62. Interface control circuit 53 controls the communication protocol with upper system 60 and  
10 interface control circuit 57 controls the communication protocol with upper system 62.

When connecting a magnetic disk apparatus which consists of disk enclosure 42 and printed-circuit board 41, 47, and 52 and is connected to upper system 60 to upper system 62 which has an interface different from that of  
15 upper system 60, it is needless to exchange disk enclosure 42 and printed-circuit board 41 and 47 and it is only needed to exchange printed-circuit board 52 to printed-circuit board 56.

#### [EMBODIMENT 4]

Referring to FIG. 4, a magnetic disk apparatus of this embodiment  
20 comprises disk enclosure 42, printed-circuit board 41, and printed-circuit board 63. Disk enclosure is the same as disk enclosure 2. Printed-circuit board 41 is the same as printed-circuit board 1 and mounts a connector, a parameter-holding circuit, an analog/digital converter and recording/reproduction control circuit. Interface control circuit 79 is not  
25 mounted on printed-circuit board 63 but is incorporated in upper system 79.

The operations of the analog/digital converter mounted on printed-circuit board 41, the recording/reproduction control circuit mounted on printed-circuit board 41, processor 64, SPM/VCM control circuit 65, interface control circuit 79 are the same as those of analog/digital converter 5, recording/reproduction control circuit 6, processor 15, SPM/VCM control circuit 18, and interface control circuit 16, respectively, and explanations thereof are omitted.

Because interface control circuit 79 is incorporated in upper system 78, the cost of the magnetic disk apparatus is reduced and the magnetic disk apparatus is miniaturized.

As explained above, according to the present invention, the cost of the magnetic disk apparatus is reduced, the magnetic disk apparatus is miniaturized, and the form of the magnetic disk apparatus is not restricted by form factors because of a basic structure in which a printed-circuit board is divided into function blocks.

In addition, the interface with an upper system can be easily changed because an interface circuit is separated.

Further, the magnetic disk apparatus can be easily exchanged to another one because a non-volatile memory is used for a parameter-holding circuit which holds parameters unique to a disk enclosure and an elastomer connector is used for a connector which connects printed-circuit boards together.

Although the present invention has been shown and explained with respect to the best mode embodiments thereof, it should be understood by those skilled in the art that the foregoing and various other changes, omissions,

and additions in the form and detail thereof may be made therein without departing from the spirit and scope of the present invention.



WHAT IS CLAIMED IS

1. A magnetic disk apparatus comprising:

a disk enclosure;

a first printed-circuit board which is paired with said disk enclosure;

5 and

a second printed-circuit board which is connected to said first printed circuit board via a cable and is separated in structure from said first printed-circuit board;

10 wherein said first printed-circuit board mounts circuits which are poor in noise resistance property, and a circuit which holds parameters unique to said disk enclosure; and

wherein said second printed circuit board mounts circuits which are superior in noise resistance property.

15 2. The magnetic disk apparatus according to claim 1, wherein said circuits which are poor in noise resistance property include recording/reproduction control circuit.

20 3. The magnetic disk apparatus according to claim 1, wherein said circuits which are poor in nose resistance property include an analog/digital converter.

4. The magnetic disk apparatus according to claim 1, wherein said circuits which are superior in noise resistance property include an interface control circuit with an upper system.

25

5. The magnetic disk apparatus according to claim 1, wherein said circuits which are superior in noise resistance property include a processor.

6. The magnetic disk apparatus according to claim 1, wherein said circuits which are superior in noise resistance property include a spindle motor/voice coil motor control circuit.

7. The magnetic disk apparatus according to claim 1, wherein said first printed-circuit board further mounts a elastomer connector.

8. The magnetic disk apparatus according to claim 1, wherein said circuits which are superior in noise resistance property include plural spindle motor/voice coil motor control circuits.

9. The magnetic disk apparatus according to claim 8, wherein said circuits which are superior in noise resistance property further include a single processor.

10. The magnetic disk apparatus according to claim 8, wherein said circuits which are superior in noise resistance property further include an interface circuit with an upper system.

11. The magnetic disk apparatus according to claim 8, wherein said circuits which are superior in noise resistance property further include a switch for selecting either of a first group consisting of one said disk enclosure and one

said first printed-circuit board and a second group consisting of another said disk enclosure and another said first printed-circuit board.

12. The magnetic disk apparatus according to claim 4, wherein said second  
5 printed-circuit board is separated into a third printed circuit board and a fourth printed circuit;

wherein said third printed circuit board mounts said interface control circuit; and

wherein said fourth printed circuit board mounts said circuits which  
10 are superior in noise resistance property other than said interface control circuit.

13. The magnetic disk apparatus according to claim 4, wherein said second printed-circuit board does not mount an interface control circuit.

14. The magnetic disk apparatus according to claim 13, wherein said circuits  
15 which are superior in noise resistance property include a processor.

15. The magnetic disk apparatus according to claim 13, wherein said circuits  
20 which are superior in noise resistance property include a spindle motor/voice coil motor control circuit.

### ABSTRACT OF THE DISCLOSURE

Disclosed is a magnetic disk apparatus comprising: a disk enclosure; a first printed-circuit board which is paired with the disk enclosure; and a second printed-circuit board which is connected to the first printed circuit board via a cable and is separated from the first printed-circuit board in structure; wherein the first printed-circuit board mounts circuits which are poor in noise resistance property, and a circuit which holds parameters unique to the disk enclosure; and wherein the second printed circuit board mounts circuits which are superior in noise resistance property.

10

FIG. 1

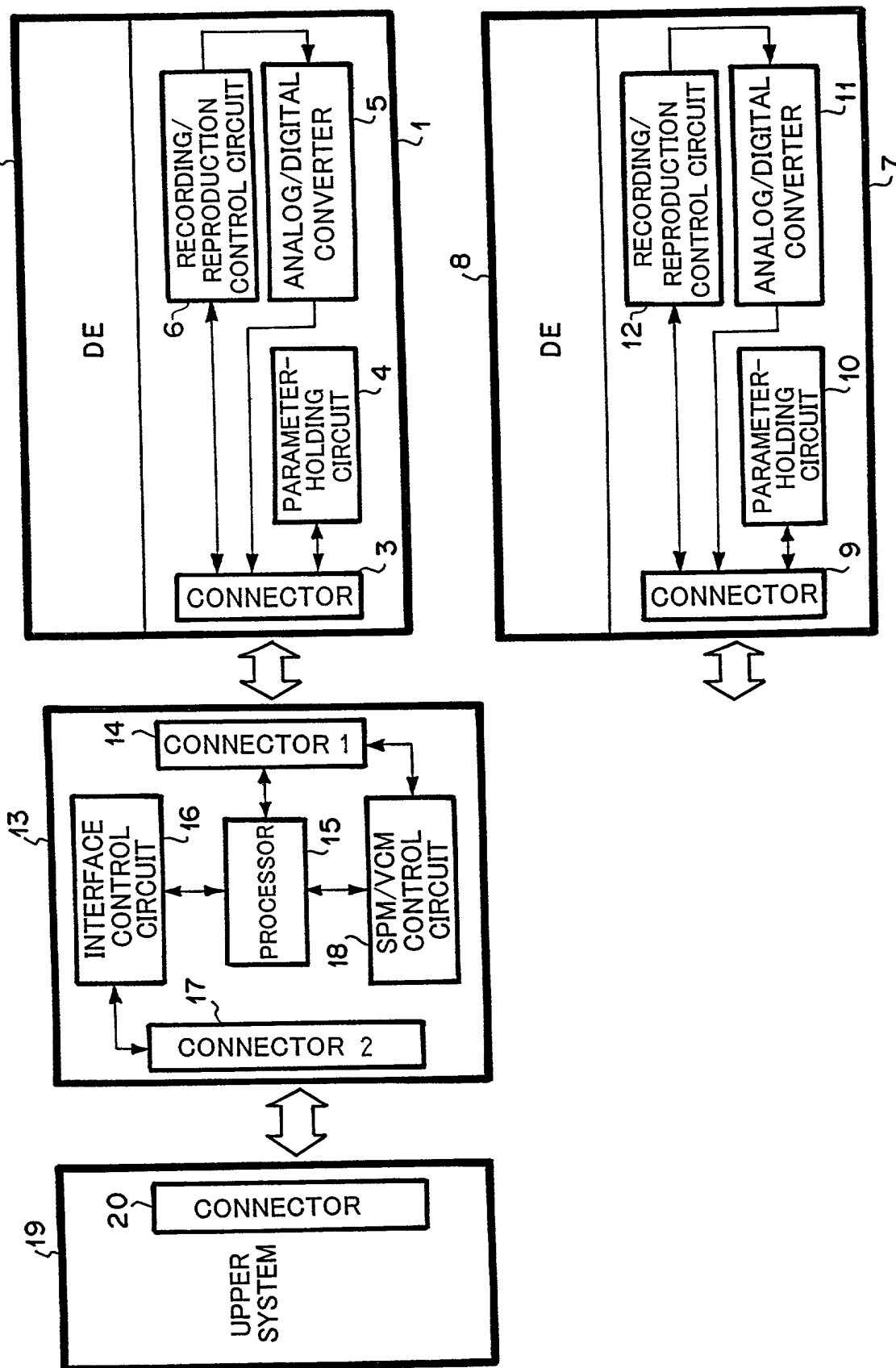


FIG. 2

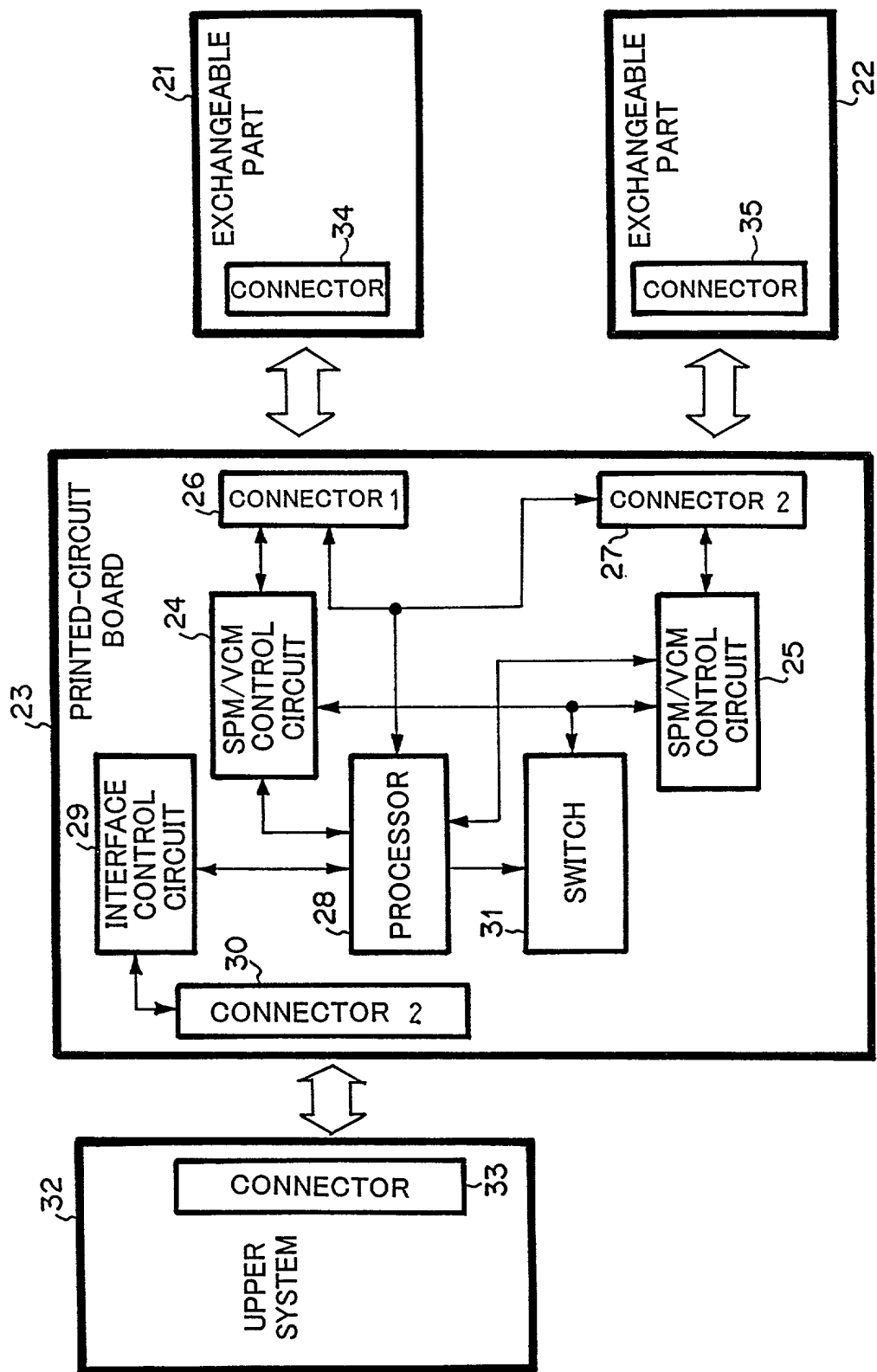


FIG. 3

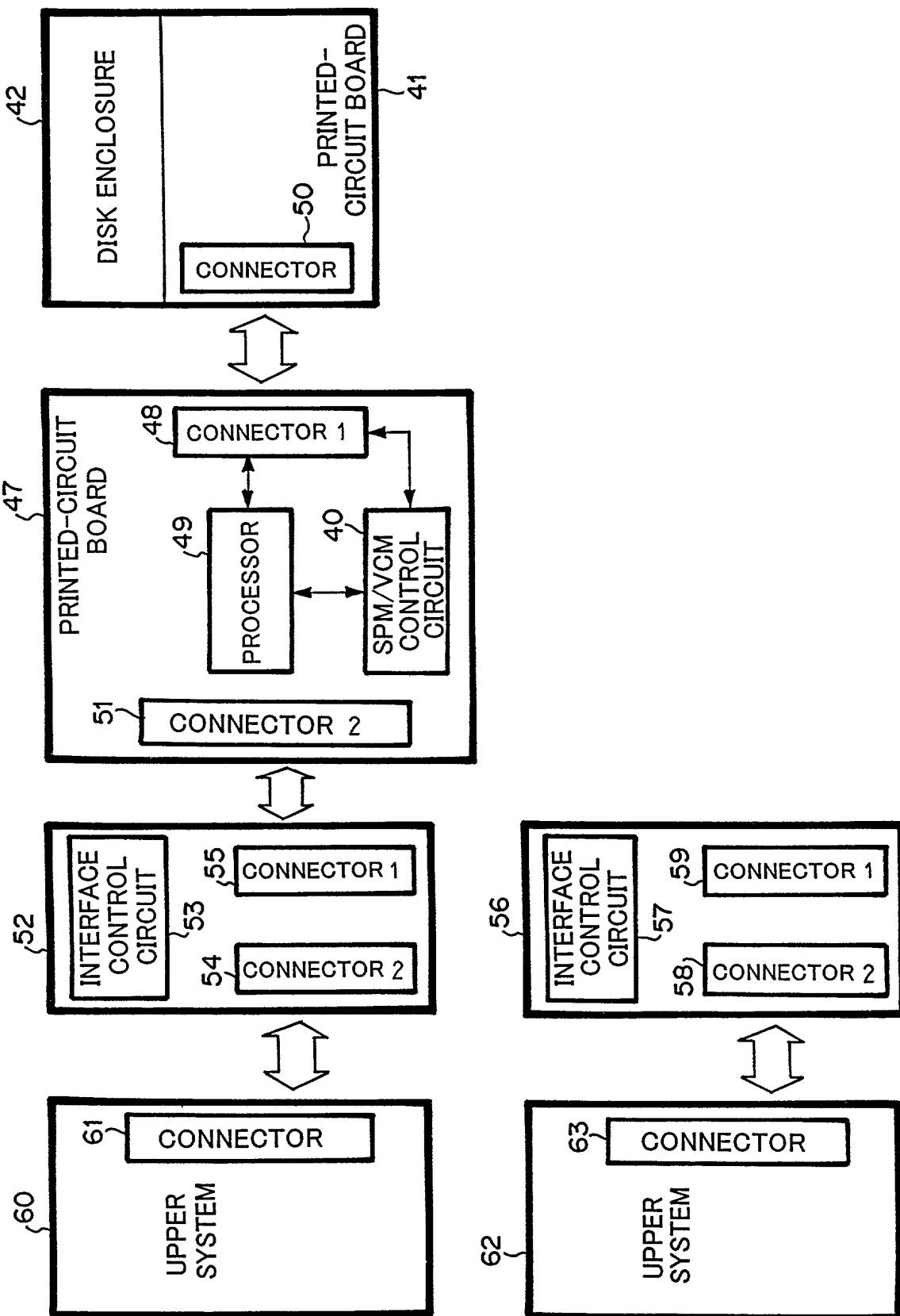


FIG. 4

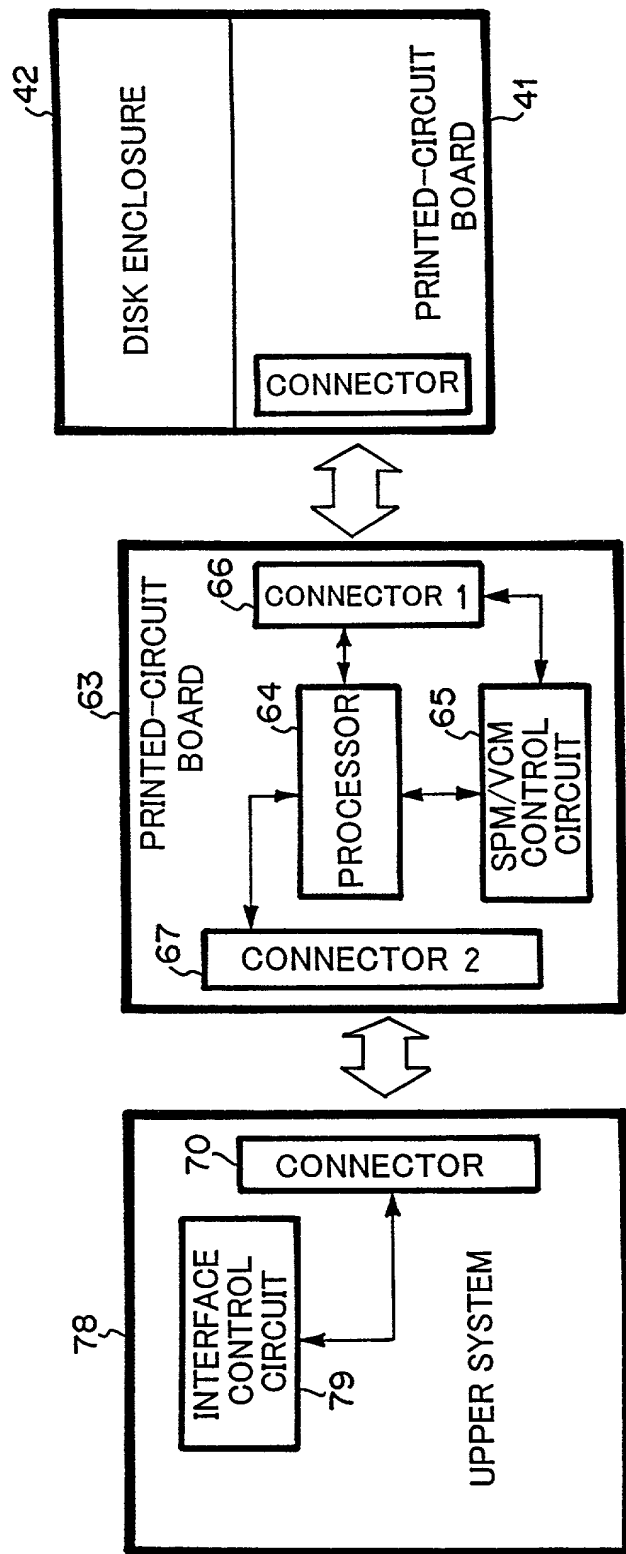
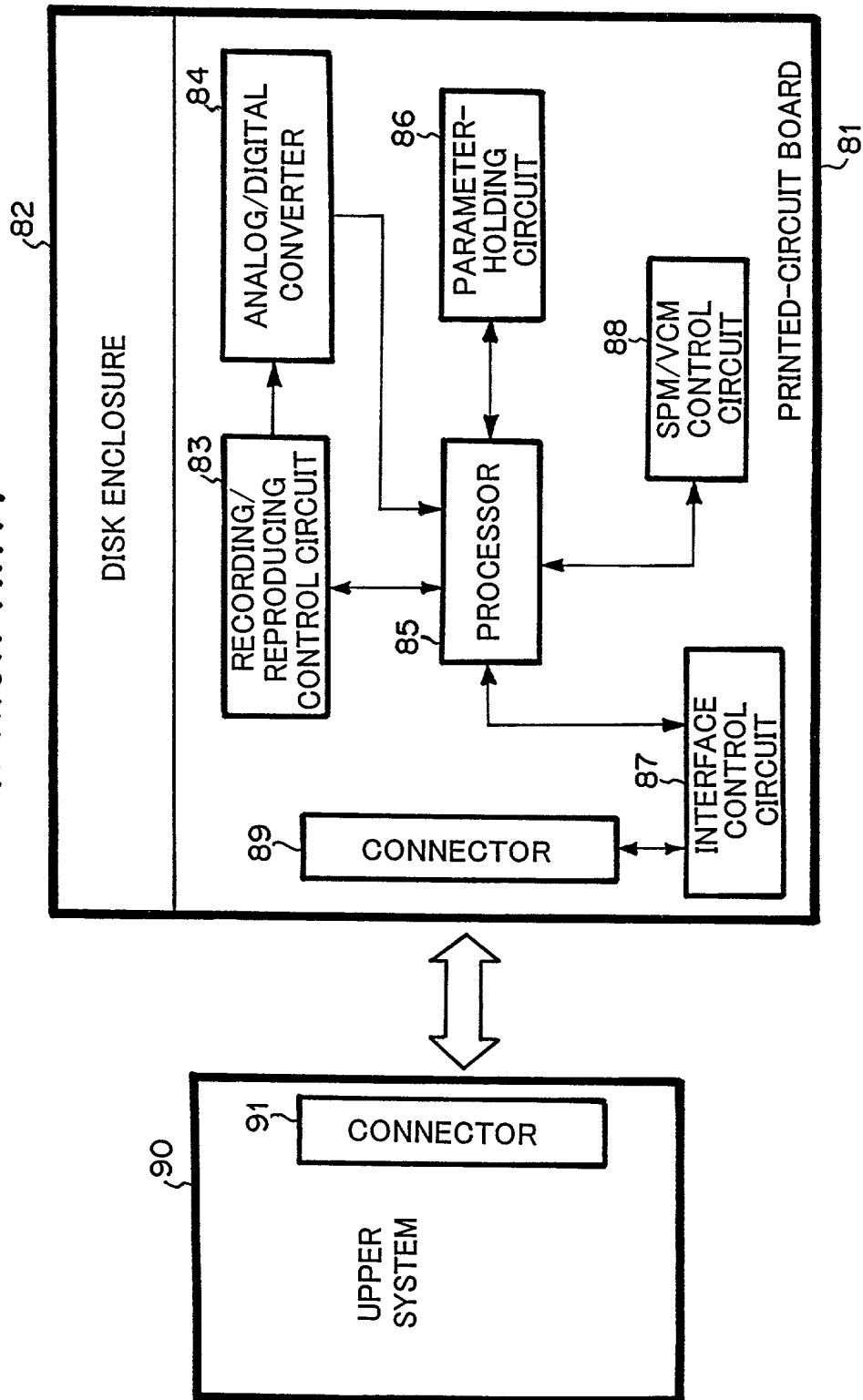




FIG. 5  
(PRIOR ART)



## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MAGNETIC DISK APPARATUS WITH SEPARATE PRINTED-CIRCUIT BOARDS

the specification of which:  
(check one)

☒ (is attached hereto)  
☐ was filed on \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56\*

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

### Prior Foreign Application(s)

			priority claimed	
<u>034726/1999</u>	<u>Japan</u>	<u>12/02/1999</u>	<u>X</u>	
(Number)	(Country)	(Day/Month/Year Filed)	yes	no
_____	_____	_____	yes	no
(Number)	(Country)	(Day/Month/Year Filed)	yes	no
_____	_____	_____	yes	no
(Number)	(Country)	(Day/Month/Year Filed)	yes	no

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status: patented, pending, abandoned)

**Power of Attorney:** As a named inventor, I hereby appoint Sean M. McGinn, Reg. No. 34, 386, and Frederick W. Gibb, III, Reg. No. 37,629, as attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. All correspondence should be directed to McGinn & Gibb, P.C., 1701 Clarendon Boulevard, Suite 100, Arlington, Virginia 22209. Telephone calls should be directed to McGinn & Gibb, P.C. at (703) 294-6699.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole  
Joint Inventor, If Any NAOKI SOEDA

Inventor's Signature Naoki Soeda (添田) Date January 24, 2000

Residence Tokyo, Japan

Citizenship Japanese

Post Office Address c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku,  
Tokyo, Japan

Full Name of Second  
Joint Inventor, If Any \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full Name of Third  
Joint Inventor, If Any \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full Name of Fourth  
Joint Inventor, If Any \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

(An additional sheet(s) is/are attached hereto if the present invention includes more than four inventors.)

\*Title 37, Code of Federal Regulations, § 1.56:

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith toward the Patent and Trademark Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) it establishes by itself or in combination with other information, a prima facie case of unpatentability; or (2) it refutes, or is inconsistent with, a position the applicant takes in: (i) opposing an argument of unpatentability relied on by the Office, or (ii) asserting an argument of patentability.

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